



Dkt. 2271/62289-Z

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Seiji SARAYAMA et al.

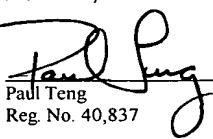
Serial No.: 10/601,301

Group Art Unit: 2818

Date Filed: June 13, 2003

Examiner: Tu-Tu Ho

For: PRODUCTION OF A GaN BULK CRYSTAL SUBSTRATE AND A SEMICONDUCTOR DEVICE FORMED ON A GaN BULK CRYSTAL SUBSTRATE

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
 Paul Teng Reg. No. 40,837	February 28, 2007 Date

1185 Avenue of the Americas
New York, N.Y. 10036
(212) 278-0400

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to ensure compliance with applicant's duty of disclosure under 37 C.F.R. §1.56 and §1.97(a)-(d), applicant hereby submits this Information Disclosure Statement. It is respectfully requested that the references cited in the annexed Form PTO-1449 be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application. Copies of references listed on the annexed Form PTO-1449 that are not a U.S. patent or U.S. patent application publication are submitted herewith..

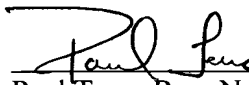
This Information Disclosure Statement is being filed concurrently with a request for continued examination under 37 C.F.R. § 1.114. Therefore, in accordance with 37 C.F.R. § 1.97(b)(4), no fee or certification is due or required.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the items are prior art. The items listed are submitted in good faith, but are not intended to substitute for Examiner's search. It is hoped, however, that in addition to apprising the Examiner of the particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Several of the references listed on the annexed Form PTO-1449 were cited in the record of corresponding Japanese application no. 11-277045. Some of the references were cited in an anonymous submission in connection with Japanese application no. 11-277045. A copy of the anonymous submission and English translation thereof are also enclosed. U.S. Patent No. 5,868,837 which is also cited in the anonymous submission is already of record in this application and therefore is not listed in the Form PTO-1449. JP 11-60394 which is also cited in the anonymous submission corresponds to U.S. Patent No. 6,270,569 which is already of record in this application and therefore JP 11-60394 is not listed in the Form PTO-1449.

Respectfully submitted,


Paul Teng, Reg. No. 40,837
Attorney for Applicants
Cooper & Dunham, LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400



Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 2271/62289-Z	Serial No. 10/601,301
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		
Applicant: Seiji SARAYAMA et al.		Filing Date: June 13, 2003
Group Art Unit: 2818		

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	5 5 8 4 9 2 9	12-17-1996	Kawase			
	6 5 4 9 5 5 2	4-15-2003	Omi et al.			
	6 5 9 2 6 6 3	7-15-2003	Sarayama et al.			
	6 8 4 2 4 7 0	7-11-2005	Omi et al.			

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation
					<div style="display: flex; justify-content: space-between; font-size: small;"> Yes No </div>
JP 50 - 1 4 9 2 7 0	11-29-1975	Japan			X
JP 56 - 1 6 0 4 0 0	12-10-1981	Japan			Abstract
JP 4 - 1 1 4 9 9 1	4-15-1992	Japan			Abstract
JP 7 - 3 0 0 3 8 5	11-14-1995	Japan			Abstract
JP 9 - 1 1 0 5 7 6	4-28-1997	Japan			Abstract
JP 1 0 - 6 7 5 9 2	3-10-1998	Japan			Abstract
JP 1 0 - 7 4 9 6	1-13-1998	Japan			Abstract
JP 20 01 - 5 8 9 0 0	3-6-2001	Japan			Abstract

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Anonymous submission of information in corresponding Japanese application no. JP11-277045 and English translation thereof

EXAMINER	DATE CONSIDERED
-----------------	------------------------

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.